

Canadian financial institutions are required to collect the information contained in this form and related to your tax residency to open and maintain a financial account. If you have a tax residency in a country outside of Canada or if you're a US citizen or US resident we may be required under Part XVIII and XIX of the Income Tax Act to report your financial account's information to the Canada Revenue Agency (CRA) who may share this information with the government of a foreign country of which you are a resident for tax purposes, or to the U.S. government if you are a US citizen or US resident. If this is the case, you can ask your financial institution the nature of the information it has provided.

In the case of a joint account, each holder must complete a separate CRS-FATCA self-certification form.

If you need help to complete this form regarding the information related to your residence, please consult a tax advisor.

New or existing account No.

1. IDENTIFICATION OF ACCOUNT HOLDER

- ☐ Ms. _____
☐ Mrs. _____ Last name _____ First name _____ Date of Birth (MM DD YYYY) _____
☐ Mr. _____

2. SELF-CERTIFICATION INFORMATION

Select all options that apply and complete the requested information.

2.1 ☐ I am a resident of Canada for tax purposes.

2.2 ☐ I am a citizen or resident of the United States for tax purposes.

Please provide your: SSN _____ ITIN _____
 If you don't provide an SSN/ITIN of the United States, please provide the reason (A or C) in reference to the list below: _____

2.3 ☐ I am a resident of a country other than Canada and the United States for tax purposes.

If you checked this box, specify all your countries of residence for tax purposes and your Taxpayer Identification Number (TIN).

#	Country of residence for tax purposes	TIN	If you don't provide a TIN, please select a reason from (A, B or C) below
1			
2			
3			

If you have checked box 2.2 or 2.3 and you also have a Canadian social insurance number, please provide it: _____

List of reasons why the SSN/ITIN/TIN of a country selected was not provided:

Reason A: *I will, or I have already requested it, but I have not yet received it. If I have not yet requested one, I have 90 days to apply for it and 15 days after I receive it to provide it to my financial institution.*

Reason B: *I am resident for tax purposes of a country that does NOT issue a TIN to its residents (excluding the United States).*

Reason C: *I have it, but not on hand. I will provide it within a 15-day period from the date hereof.*

3. UNIQUE TAX RESIDENCY IN HIGH RISK COUNTRY

According to the regulations of the Canada Revenue Agency, additional information must be obtained when a tax residency is in a country offering a "Citizenship by Investment" (CBI) and "Residence by Investment" (RBI) regimes. This type of scheme allows a foreign individual to obtain citizenship, or temporary or permanent residence rights based on local investments or against a flat fee. The countries targeted by this requirement are listed on the website of the Organization for Economic Cooperation and Development (OECD) on:

<https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/residence-citizenship-by-investment/>

If your tax residence is in one or more of these countries targeted by the OECD, please answer the following questions:

3.1 Have you been granted these residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) scheme?

☐ No ☐ Yes

3.2 Do you have residency rights for tax purposes in countries other than the one(s) mentioned in questions 2.2 and/or 2.3?

☐ No ☐ Yes

Important: If you answered yes, make sure that these tax residences are documented in sections 2.2 and/or 2.3 above.

3.3 During the previous year, have you spent more than 90 days in any country including the one(s) mentioned in questions 2.2 and/or 2.3?

☐ No ☐ Yes If yes, please list them all:

3.4 In which country or countries have you filed personal tax returns during the previous year? Indicate the country or countries:

4. ATTESTATION AND SIGNATURE – MANDATORY SECTION

I certify that the information provided in this CRS-FATCA self-certification is correct and complete. I authorize my financial institution to share this information with its subsidiaries and parent companies for risk management, profile update and legal and regulatory compliance purposes. I also agree to inform of any changes in circumstances that may cause the information to become incomplete or inaccurate within 30 days of such change, and to provide an updated self-certification form.

Important Notice: if the reason for not providing my SSN/ITIN/TIN is A or C, I understand that in accordance with Part XVIII and/or XIX of the Income Tax Act that my financial institution may have to declare my account based on any indicia that may indicate a foreign tax residence and that I may be subject to a penalty from the CRA of \$500 for each foreign TIN not provided or \$100 in the case of a US TIN not provided as a result of my negligence. I agree to assume any liability directly or indirectly related to this situation.

Date (MM DD YYYY)

Name of signer

X

Signature (account holder or authorized person)